

## facts

what does Goodwin Investment Advisory  
do with your personal information?

## why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

## what?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and date of birth
- Phone number(s), and physical and email address
- Banking, and other personal financial information

When you are *no longer* our customer, we continue to share your information as described in this notice.

## how?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information: the reasons Goodwin Investment Advisory chooses to share; and whether you can limit this sharing.

reasons we can share your personal information	does Goodwin Investment Advisory share?	can you limit this sharing?
<b>for our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders or legal investigations.	yes	no
<b>for our marketing purposes—</b> to offer our products and services to you	yes	yes
<b>for joint marketing with other financial companies</b>	no	n/a
<b>for our affiliates' everyday business purposes—</b> information about your transactions and experiences	yes	no
<b>for our affiliates' everyday business purposes—</b> information about your creditworthiness	no	n/a
<b>for nonaffiliates to market to you</b>	no	n/a

## questions?

call (678) 741-2370 or email [compliance@goodwininvestment.com](mailto:compliance@goodwininvestment.com)

## who we are

### who is providing this notice?

Goodwin Investment Advisory LLC ("GIA")

## what we do

### how does GIA protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

### how does GIA collect my personal information?

We collect your personal information, for example, when you:

- Open an account or give us your contact information
- Seek advice about your investments or tell us about your investment or retirement portfolio
- Enter into an investment advisory contract
- We may also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

### why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## definitions

### affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Timothy Goodwin is the owner of MCSE Stewardship, LLC and is the majority owner of Goodwin Investment Advisory, LLC. MCSE Stewardship, LLC is the general partner of private funds, Goodwin Real Estate Fund, LP and Goodwin Real Estate Fund II, LP.

### nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- GIA does not share with nonaffiliates so that they can market to you.

### joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- GIA does not participate joint marketing.

## other important information

Goodwin Investment Advisory uses multiple third-party vendors for routine business operations including but not limited to Redtail Technologies, Dropbox Business, National Financial Services and their affiliates, eMoney Advisor, and DocuSign. For additional information on GIA's third-party vendors please call (678) 741-2370 or email [compliance@goodwininvestment.com](mailto:compliance@goodwininvestment.com).